

## Moduli Spaces and Cone Complexes Part II: The Return of Analytic Spaces

These notes follow section 5 of [ACP15] and are a continuation of a seminar talk given by Wouter Zomervrucht.

Throughout we'll let  $k = \bar{k}$ .

**Goal:** Associate a cone complex to a toroidal embedding.

**Definition 0.1.** A *toroidal scheme* over  $k$  is a pair  $U \subset X$  where  $U$  is an open subscheme of  $X$  that étale locally looks like the inclusion of the torus  $T = \mathbb{G}_m^k$  into an affine toric variety. Namely, for all  $p \in X$  there exists an étale neighbourhood  $\alpha : V \rightarrow X$  of  $p$  and an étale morphism  $\beta : V \rightarrow V_\sigma$  where  $V_\sigma$  is an affine toric variety such that  $\beta^{-1}T = \alpha^{-1}U$ .

Here an affine toric variety is an irreducible affine variety  $V$  containing a torus  $T$  as a Zariski open subscheme such that the action of  $T$  on itself extends to that of  $V$ .

*Example 0.2.* The example to keep in mind is  $T = \text{Spec}k[x, y]_{xy}$  inside  $\mathbb{A}_k^2$ , and analogues in higher dimensions.

Recall from Stefan's talk: Let  $k$  be equipped with the trivial valuation,  $X/k$  a scheme locally of finite type. The Berkovich analytification,  $X^{an}$  of  $X$ , is defined as a set follows:

**Definition 0.3.**

$$X^{an} = \{\text{Spec}L \rightarrow X : L/K \text{ is a valued field extension}\} / \sim,$$

where  $\sim$  is the equivalence relation given by  $(\text{Spec}L' \rightarrow \text{Spec}L \xrightarrow{p} X) \sim (\text{Spec}L \xrightarrow{p} X)$ .

When  $X = \text{Spec}A$  is affine, this is equivalent to the set of seminorms on  $A$  that extend the valuation on  $k$ .

If  $T$  is the torus in  $\mathbb{A}_k^r$ , we can define the tropicalisation map  $\text{Trop} : X^{an} \rightarrow \mathbb{R}^n$  by sending a point  $p : \text{Spec}L \rightarrow X$  to  $-(\log|p^\#x_1|, \dots, \log|p^\#x_r|)$ .

We now consider an analytic subspace of this space.

**Definition 0.4.** Let  $X/k$  be separated and of finite type. Then  $X^\triangleright$  is the analytic domain in  $X^{an}$  whose  $K$ -points (for  $K/k$  a valued field extension with valuation ring  $R$ ) are the  $K$ -points that extend to  $\text{Spec}(R)$ .

*Remark 0.5.* If  $X = \text{Spec}A$  is affine,  $X^\triangleright$  is the set of seminorms  $\|\cdot\|$  extending that of  $k$  such that  $\|f\| \leq 1$  for all  $f \in A$ .

If  $X/k$  is proper then  $X^\triangleright = X^{an}$  by the valuative criterion.

*Example 0.6.* Let  $S_\sigma$  be an  $f$ -monoid with generators  $s_1, \dots, s_n$ , and  $M = S_\sigma^{gp}$  the associated group. Let  $\sigma = \text{Hom}(S_\sigma, \mathbb{R}_{\geq 0})$  be the dual cone. The affine toric variety  $V_\sigma = \text{Spec}k[S_\sigma]$  over  $k$  has torus  $T = \text{Spec}k[M]$ .

Suppose now that  $S = \mathbb{N}^n$ , so that  $V_\sigma = \mathbb{A}^n$ .

Let  $K/k$  be a valued field extension,  $x : \text{Spec}K \rightarrow T$  a  $K$ -point of  $V_\sigma$ . This extends to an  $R$ -point if and only if  $\text{Trop}(x)$  is in  $\sigma$ , where  $\text{Trop}(x) = (-\log(|x^\#(s_1)|), \dots, -\log(|x^\#(s_n)|))$

This should indicate that somehow  $X^\natural$  is the correct analytic space to work in if we're interested in toroidal embeddings. In general, if  $U \subset X$  is a toroidal embedding, Thuillier [Thu07] defines a natural continuous idempotent self map  $p_X : X^\natural \rightarrow X^\natural$ .

**Definition 0.7.** The skeleton  $\bar{\Sigma}(X) \subset X^\natural$  is the image of  $X^\natural$  under  $p_X$ .

This notation is suggestive. Thuillier showed that if  $U \subset X$  is a toroidal embedding without self-intersection, the image of  $U^{an} \cap X^\natural$  under  $p_X$  is canonically identified with  $\Sigma(X)$ , the cone complex associated to  $X$ . In defining  $p_X$  we will also see what  $\Sigma(X)$  is.

### The dual cone complex

Let  $U \subset X$  be a toroidal embedding. We have, for any point  $x \in X$ , toroidal charts  $\alpha : V \rightarrow X$  and  $\beta : V \rightarrow V_\sigma$ , where  $\alpha$  is Zariski-open and  $V$  is affine. This is possible by the assumption we are without self-intersection.

Let  $S_\sigma$  denote the monoid of effective Cartier divisors on  $V$  supported in the compliment of  $V \cap U$ , and  $\sigma = \text{Hom}(S_\sigma, \mathbb{R}_{\geq 0})$ . Let  $\overline{\text{sigma}}$  denote the extended cone associated to  $\sigma$ .

If  $x \in X^\natural$  then  $x$  corresponds to a point of  $X$  over a valuation ring  $R$ . Its reduction  $\bar{x}$  is a point of  $X$  over the residue field of  $R$ . Given a toric chart as above with image containing  $x$ ,  $p(x) : S_\sigma \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$  takes a divisor  $D \in S_\sigma$  with local equation  $f$  at  $x$  to  $p(x)(D) = \text{val}(f)$ .

This is a well-defined monoid homomorphism, and so we have an element of  $\bar{\sigma}$ .

It remains to see why  $\sigma$  is contained in  $X^\natural$ . For this we will work locally, so we restrict to working on  $V$ , where  $V^\natural$  is the set of valuations extending the trivial valuation on  $k$  that are non-zero on  $k[V]$ , the coordinate ring of  $V$ .

Choose a point  $x \in V$  mapping to a point in the closed orbit  $\mathcal{O}_\sigma \subset V_\sigma$  of the torus action (i.e. the points of  $V_\sigma$  not in  $T$ ).

The completion of  $\mathcal{O}_{X,x}$  is isomorphic to  $k[[x_1, \dots, x_r]][[S_\sigma]]$ , the power series ring in variables  $x_i$  with exponents in  $S_\sigma$ , and where  $r$  is the dimension of  $\mathcal{O}_\sigma$ .

Given  $f \in k[V]$ , write  $\sum_{u \in S_\sigma} a_u(f)z^u$  as the image of  $f$  in this ring.

Given  $v \in \bar{\sigma}$ , we obtain  $\text{val}_v : k[V] \rightarrow \mathbb{R}_{\geq 0} \cup \{\infty\}$  via

$$\text{val}_v(f) = \min\{\langle u, v \rangle : a_u(f) \neq 0\}.$$

As  $v \in S_\sigma$ ,  $\text{val}_v$  is nonnegative, and so  $\bar{\sigma} \subset V^\triangleright$ .

In general we cover  $X$  by the  $V$ 's, such that  $V^\triangleright$  covers  $X^\triangleright$ , and the union of the cones  $\bar{\sigma}$  for each stratum of  $X$  forms  $\bar{\Sigma}$ .

## References

- [ACP15] Dan Abramovich, Lucia Caporaso, Sam Payne. (2015) The tropicalization of the moduli space of curves arXiv:1212.0373, 2014.
- [Thu07] Amaury Thuillier. (2007) Géométrie toroïdale et géométrie analytique non archimédienne. Application au type d'homotopie de certains schémas formel *Manuscripta Math* (2007), 381-451.