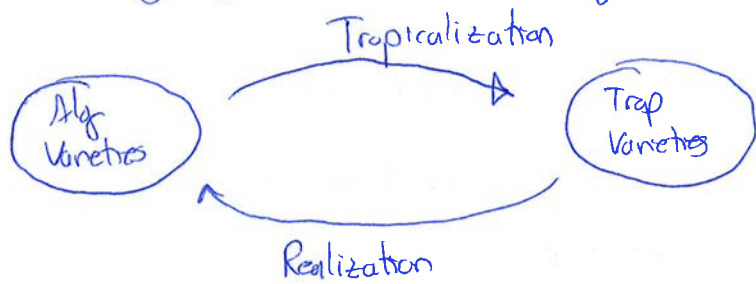


Tropical polynomials + plane curves: These notes are taken from lecture notes by <sup>①</sup> Hannah Markwig at the Stockholm Master Class I attended

Tropical geometry is "geometry over the max-plus-semiring". It provides a combinatorial framework to study degenerations in alg. geometry. in 2017.



Def: The tropical semiring ~~(R, +, \cdot)~~ is  $(\mathbb{R} \cup \{-\infty\}, \oplus, \otimes)$  w/ operations:

$x \oplus y = \min(x, y)$

$x \otimes y = xy$

Remark: Tropical geometry is performed over non-Archimedean fields  $K$  w/  $v: K^* \rightarrow \mathbb{R}$ . The tropical semiring can be viewed as such a non-Arch valuation, where  $v(ab) = v(a) + v(b)$  and  $v(a+b) \geq \min\{v(a), v(b)\}$ .

\* Equivalently, we can work over the max-plus algebra  $(\mathbb{R} \cup \{-\infty\}, \oplus^+, \otimes)$  where  $a \oplus^+ b = \max\{a, b\}$ . HM and GM uses this. We will not. We will.

Def: A tropical polynomial is an expression of the form  $\oplus_{i=1}^n a_i \otimes x_i^{\otimes d_i} = \min\{a_1 + d_1 x_1, \dots, a_n + d_n x_n\}$ .

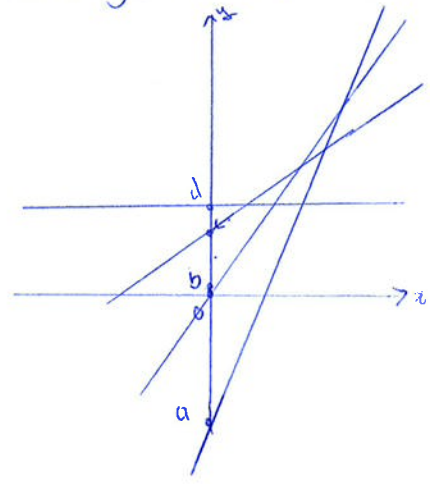
Tropical monomials are piecewise linear fns  $\mathbb{R}^n \rightarrow \mathbb{R}$ .  
 polynomials give p.w. " " " w/ finitely many pieces.

Ex:  $0 \oplus x^{\otimes 2} = \max\{0, 2x\}$

We can have extraneous terms, i.e.

Ex  $f_1 = 0 \oplus x \oplus x^{\otimes 2}$   $f_2 = 0 \oplus x^{\otimes 2}$  are equivalent as functions, so not unique rep.

Ex (HM):  $f(x) = a \oplus x \oplus b \oplus x^2 \oplus c \oplus x \oplus d = \max\{d, a+x, b+2x, a+3x\}$



One can show all 4 lines contribute if  $d-c \leq c-b \leq b-a$ ,

and one can then factor  $f$  as

$$a \oplus (x \oplus (d-c)) \oplus (x \oplus (c-b)) \oplus (x \oplus (b-a)).$$

The points  $(d-c)$ ,  $(c-b)$ , and  $(b-a)$  are where the maximum value is achieved at least twice.

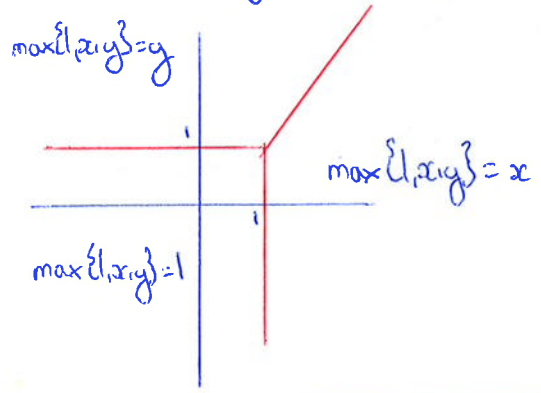
Def: Let  $f$  be a tropical polynomial. Then

$$\text{Trop}(f) := \{x \in \mathbb{R}^n : \text{the max of the monomials of } f \text{ is achieved at least twice}\}$$

is the tropical hypersurface of  $f$ .

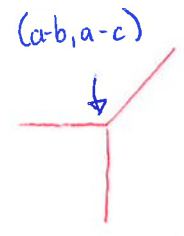
The case  $n=2$  is of particular interest and gives tropical plane curves:

Example:  $f = 1 \oplus x \oplus y = \max\{1, x, y\}$ .  $\text{Trop}(f) = \{(a,b) : \max\{1, a, b\} \text{ occurs at least twice}\}$



This is the "mascot" of tropical geometry.

In general, given  $f = a \oplus b \oplus x \oplus c \oplus y = \max\{a, b+x, c+y\}$



Definition: A (polyhedral) cone is a subset of  $\mathbb{R}^n$  that is the intersection of finitely many half-spaces passing through 0. A fan is a finite set  $F$  of cones in the same space such that

- (i) if  $\sigma \in F$  and  $\tau$  is a face of  $\sigma$ ,  $\tau \in F$ .
- (ii) if  $\sigma, \sigma' \in F$  then  $\sigma \cap \sigma'$  is a face of  $\sigma$  and  $\sigma'$ .

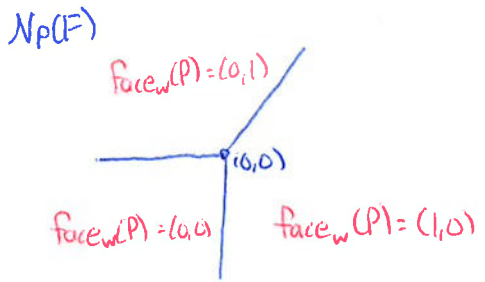
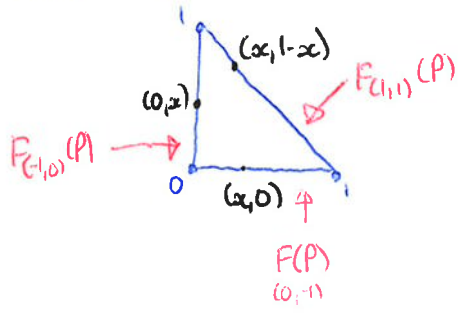
Def: Let  $P \in \mathbb{R}^n$  be a polyhedron,  $w \in (\mathbb{R}^n)^\vee$ . Then  $\text{face}_w(P) = \{x \in P : w \cdot x \geq w \cdot y \forall y \in P\}$  is the face at which  $w$  is maximized.

If  $P$  is a polyhedron, the outer normal face of fan is the fan with cones:

$$N_P(F) = \{w \in \mathbb{R}^n : \text{face}_w(P) = F\}$$

for  $F$  a face of  $P$ .

Example:  $P$ :



and in general vertices give top dimensional cones.

Let's see how this relates to tropical curves:

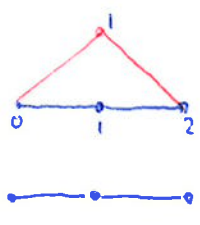
Given a tropical curve  $f = \bigoplus a_\alpha \otimes x^\alpha$ , let  $\text{Newt}(f) = \text{conv}(\alpha : a_\alpha \neq 0 \text{ or } -\infty)$  be the Newton polytope of  $f$ .  
 Def: The dual Newton subdivision is the upper convex hull of  $F$ ist form

$$\{(\alpha, h) \in \text{Supp}(f) \times \mathbb{R} : h \leq \alpha\}$$

Its upper faces project to  $\text{Newt}(f)$ , and the resulting complex is the dual Newton subdivision

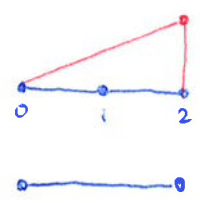
Ex:  $f = 0 \oplus 1 \otimes x \oplus 0 \otimes x^2$

$$\text{Newt}(f) = [0, 2]$$



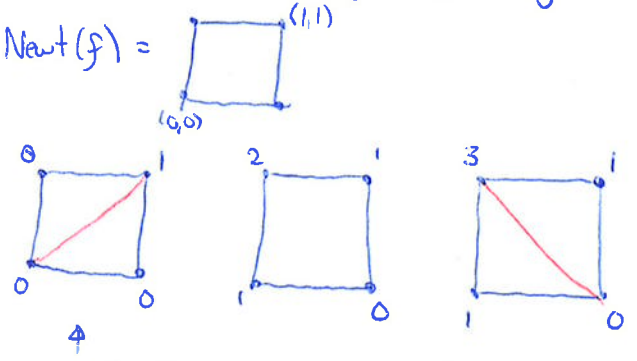
$f = 0 \oplus 0 \otimes x \oplus 1 \otimes x^2$

$$\text{Newt}(f) = [0, 2]$$



$f = a_0 \oplus a_x \otimes x \oplus a_y \otimes y \oplus a_{xy} \otimes x \otimes y$

$$\text{Newt}(f) =$$



ie.  $f = 0 \oplus 0 \otimes x \oplus 0 \otimes y \oplus 1 \otimes x \otimes y$

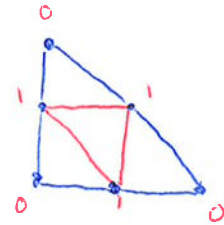
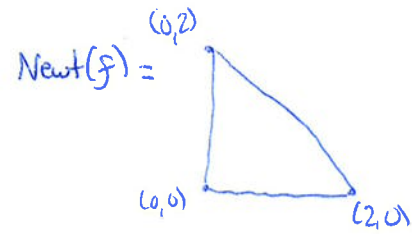
Proposition: Let  $f$  be a plane tropical curve. Then  $\text{Trop}(f)$  is dual to the dual Newton subdivision:

- vertices of  $\text{Trop}(f) \cong$  polygons of the subdivision
- edges of  $\text{Trop}(f) \cong$  orthogonal edges of the subdivision.

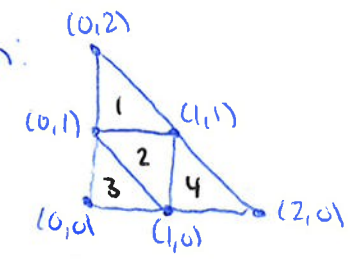
If we have a polygon of the subdivision w/ vertices  $\alpha_1, \alpha_2, \alpha_3 \in \mathbb{R}^2$ , this gives a vertex of  $\text{Trop}(f)$  satisfying:

$$a_{\alpha_1} + (x, y) \cdot \alpha_1 = a_{\alpha_2} + (x, y) \cdot \alpha_2 = a_{\alpha_3} + (x, y) \cdot \alpha_3 \geq a_{\alpha_r} + (x, y) \cdot \alpha_r \quad \forall \text{ other } \alpha \in \text{Supp}(f)$$

Example:  $f = 0 \oplus 0x^{\oplus 2} \oplus 0y^{\oplus 2} \oplus 1 \oplus x \oplus y \oplus 1 \oplus x \oplus y$ .



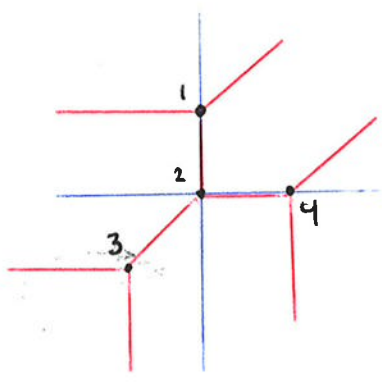
Dual Newton subdivision:



By the Proposition,  $\text{Trop}(f)$  has 4 vertices:

- 1:  $2y = 1 + y = 1 + x + y \Rightarrow x = 0, y = 1$ .
- 2:  $1 + x = 1 + y = 1 + x + y \Rightarrow x = y = 0$ .

- 3:  $0 = 1 + x = 1 + y \Rightarrow x = y = -1$
- 4:  $2x = 1 + x + y = 1 + x \Rightarrow y = 0, x = 1$ .

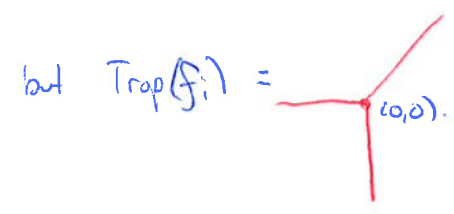
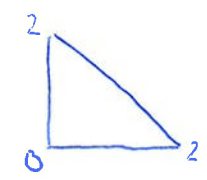


with the edges determined by the subdivision.

However! Different dual subdivisions can yield the same tropical curves.

$f_1 = 0 \oplus x \oplus y$

$f_2 = 0 \oplus x^{\oplus 2} \oplus y^{\oplus 2}$

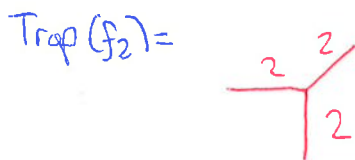
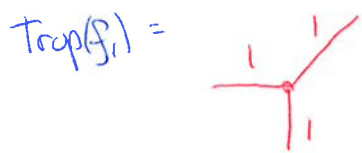


So we add more information by adding weights to  $\text{Trop}(f)$ .

Def: Let  $\text{Trop}(f)$  be a plane tropical curve, and let  $e$  be an edge of  $\text{Trop}(f)$ . The weight of  $e$  is the lattice length of the dual edge in the dual Newton subdivision of  $f$ .

(i.e. lattice pts we pass through).

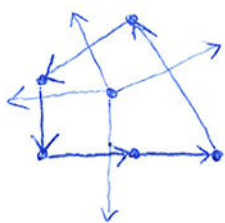
Example:



Theorem: Let  $\text{Trap}(f)$  be a plane tropical curve,  $p$  a vertex,  $e_1, \dots, e_r$  the incident edges with weights  $w_1, \dots, w_r$ , and let  $v_1, \dots, v_r$  denote the primitive integer vectors in the directions of the  $e_i$ 's away from  $p$ . Then

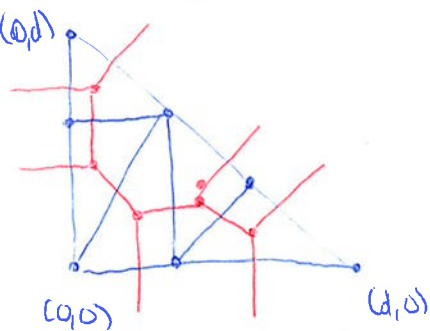
$$\sum_{i=1}^r m_i \vec{v}_i = 0.$$

Proof idea: Let  $\Delta_p$  denote the polygon in the dual Newton ~~poly~~ subdivision corr to  $p$ . Orient the sides counterclockwise:



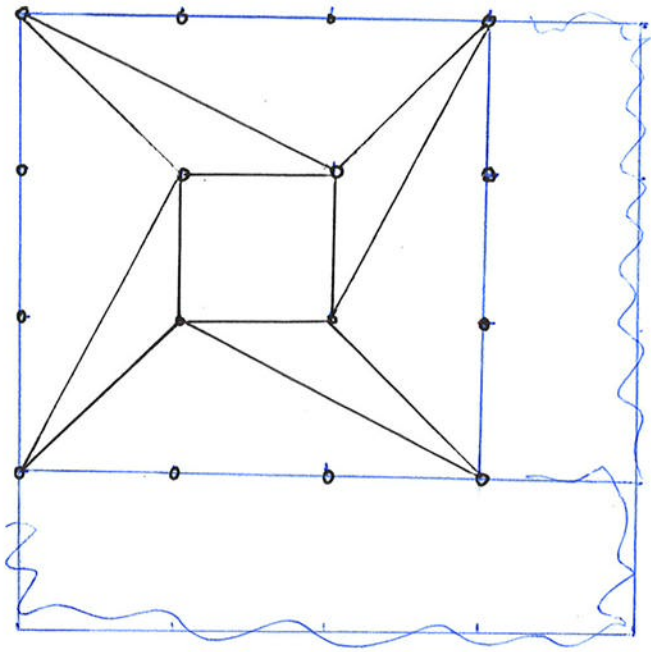
The vectors  $w_i v_i$  correspond to the edges of  $\Delta_p$  rotated clockwise by  $\frac{\pi}{2}$ , and hence sum to 0.

Def: A tropical curve has degree  $d$  if it is dual to a subdivision of  $\text{Conv}\{(0,0), (d,0), (0,d)\}$ .



Next time: Abstract tropical curves (weighted graphs)!

Can this be the dual Newton subdivision of a tropical polynomial in 2 variables?



A: No. For example, each vertex in the middle square would have to be higher than the previous one (counter-clockwise).