

## § 7 : LINEAR SYSTEMS

$X$  = compact Riemann surface

Rmk : Merom. functions on  $X$  are determined by their divisors, up to a nonzero constant. So we can study them via their divisors.

proof : Let  $f_1, f_2 \in \mathbb{C}(X)$  merom. functions s.t.  
 $\text{div}(f_1) = \text{div}(f_2)$ . Then  $\text{div}\left(\frac{f_1}{f_2}\right) = 0$   
So  $\frac{f_1}{f_2}$  has no poles, so it is holomorphic  
but since  $X$  is compact  $\frac{f_1}{f_2} = \lambda \in \mathbb{C}^*$ .  $\square$

Some terminology:

A divisor  $D = \sum n_p \cdot p$  on  $X$  is called EFFECTIVE if  $n_p \geq 0$ , and we write  $D \geq 0$ .

For two divisors  $D_1, D_2$  we write  $D_1 \geq D_2$  if  $D_1 - D_2 \geq 0$ , i.e.,  $D_1 - D_2$  is effective.

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Def:  $H^0(X, D)$

Let  $X$  be a Riemann surface and  $D$  a divisor:

$$H^0(X, D) = \{f \in \mathbb{C}(X)^* \mid \text{div}(f) \geq -D\} \cup \{0\}$$

So these sets tell us about meromorphic functions with bounded poles.

Example: (1)  $X = \mathbb{P}^1$ ,  $D = d \cdot \infty$   $d \in \mathbb{Z}$

$$H^0(\mathbb{P}^1, d \cdot \infty) = \{ f \in \mathbb{C}(\mathbb{P}^1) \mid \text{div}(f) \geq -d \cdot \infty \}$$

So elements here are merom. functions with poles only at  $\infty$  and of order at most  $d$  (if  $d > 0$ ) and with zeroes of order at least  $d$  (if  $d < 0$ ).

•  $d < 0$ :  $H^0(\mathbb{P}^1, d \cdot \infty) = 0$ .

Because any function in there has no poles, so it is constant. But it has also zeroes, so it must be zero.

•  $d \geq 0$ :  $H^0(\mathbb{P}^1, d \cdot \infty) = \mathbb{C}[z]_{\leq d} = \mathbb{C}[s, t]_d$

If  $f \in H^0(\mathbb{P}^1, d \cdot \infty)$  then it has poles only at  $\infty$ .

Since any meromorphic function on  $\mathbb{P}^1$  is rational it must be that  $f$  is a polynomial. Since the order of the pole at  $\infty$  is at most  $d$ , this is a polynomial of degree  $\leq d$ .

We consider some properties of these sets:

(1)  $H^0(X, D)$  is a vector space (subspace of  $\mathbb{C}(X)$ ).

proof: because  $\text{ord}_p$  is a discrete valuation.  $\square$

(2) If  $D_1 \sim D_2$  ( $\sim =$  linear equivalence) then there is a "canonical" isomorphism  $H^0(X, D_1) \cong H^0(X, D_2)$ .

proof: let  $g \in \mathbb{C}(X)^*$  s.t.

$$\text{div}(g) = D_1 - D_2$$

Then

$$H^0(X, D_1) \xrightarrow{\cdot g} H^0(X, D_2)$$

$$f \longmapsto f \cdot g$$

is an isomorphism. If  $f \in H^0(X, D_1)$

$$\text{div}(f \cdot g) = \text{div}(f) + \text{div}(g)$$

$$= \text{div}(f) + D_1 - D_2$$

$$\geq -D_1 + D_1 - D_2 = -D_2$$

So the map is well defined on the inverse

is  $(\cdot \frac{1}{g})$ . □

(3) There is a canonical identification

$$\mathbb{P}(H^0(X, D)) \cong |D| \stackrel{\text{def}}{=} \left\{ \begin{array}{l} \text{all effective divisors} \\ E \sim D \end{array} \right\}$$

proof: let  $f \in H^0(X, D), f \neq 0$ . We can define an effective divisor

$$\boxed{\text{div}^D(f) := \text{div}(f) + D} \geq 0$$

This is also lin. equiv to  $D$

$$\text{div}^D(f) - D = \text{div}(f)$$

Moreover if  $\lambda \in \mathbb{C}^*$ , then  $\text{div}^D(f) = \text{div}^D(\lambda \cdot f)$   
 So we have a map

$$\mathbb{P}(H^0(X, D)) \longrightarrow |D|$$

$$[f] \longmapsto \text{div}^D(f) = \text{div}(f) + D$$

- INJECTIVITY: if  $\text{div}^D(f_1) = \text{div}^D(f_2)$ , then  $\text{div}(f_1) = \text{div}(f_2)$  and then we know that  $f_1 = \lambda \cdot f_2$ ,  $\lambda \in \mathbb{C}^*$ .
- SURJECTIVITY:  $E$  effective,  $E \sim D$ . Then  $E - D = \text{div}(f)$  and  $f \in H^0(X, D)$  because  $E$  is effective. Then  $E = \text{div}^D(f)$ .

Example: (1) On  $\mathbb{P}^1$ , if  $d \geq 0$

$$|d \cdot \infty| = \left\{ \begin{array}{l} \text{all effective divisors of} \\ \text{degree } d \text{ on } \mathbb{P}^1 \end{array} \right\}$$

$$= \mathbb{P}(\mathbb{C}[z]_{\leq d}) = \mathbb{P}(\mathbb{C}[s, t]_d)$$

(2) Let  $E = \mathbb{C}/\mathbb{Z} + \mathbb{Z}\tau$ , and  $p \in E$ .

$$|p| = \{p\} \quad [\text{Exercise 5.3. (c)}]$$

$$H^0(E, p) = \{f \mid \text{div}(f) \geq -p\}$$

$$= \langle 1 \rangle$$

(4) If  $D$  is effective then  $1 \in H^0(X, D)$   
and indeed  $D \in |D|$ .

(5) If  $\deg D < 0$ , then  $H^0(X, D) = 0$ .

proof:  $\mathbb{P}(H^0(X, D)) = \left\{ \begin{array}{l} \text{effective divisors} \\ E \sim D \end{array} \right\}$

But if  $E \sim D$  then  $\deg(E) = \deg(D) < 0$   
impossible if  $E$  is effective.  $\square$

(6) If  $\deg D = 0$ :

$$H^0(X, D) \cong \begin{cases} \mathbb{C} & \text{if } D \sim 0 \\ 0 & \text{if } D \not\sim 0 \end{cases}$$

proof:  $\mathbb{P}(H^0(X, D)) = |D|$

So if  $E \sim D$  and  $E$  is effective then  
 $\deg(E) = 0$ , so it must be  $E = 0$ . So

$$|D| = \begin{cases} \{0\} & , \text{if } D \sim 0 \\ \emptyset & , \text{if } D \not\sim 0 \end{cases}$$

Otherwise if  $D \sim 0$  then

$$\begin{aligned} H^0(X, D) &\cong H^0(X, 0) = \{f \mid \operatorname{div}(f) \geq 0\} \\ &= \{ \text{hol. functions on } X \} = \mathbb{C}. \end{aligned}$$

(7) If  $\deg D = 1$  then

$$\dim H^0(X, D) = \begin{cases} 2 & \text{if } X \cong \mathbb{P}^1 \\ \leq 1 & \text{if } X \not\cong \mathbb{P}^1 \end{cases}$$

proof: If  $X \cong \mathbb{P}^1$ , we saw this before:

$$H^0(X, D) \cong H^0(X, \infty) = \mathbb{C}[t^2] \leq 1$$

On the other hand, suppose  $\dim H^0(X, D) \geq 2$ .

Then there is an effective divisor  $E$  lin. equiv. to  $D$  and  $E = p$ . So

$$\dim H^0(X, p) = \dim H^0(X, D) \geq 2.$$

We always have  $1 \in H^0(X, p)$  so there must be a nonconstant  $f \in H^0(X, p)$  which has exactly one pole. So  $f: X \rightarrow \mathbb{P}^1$  is an isomorphism.  $\square$

(8) If  $p \in X$ , then  $H^0(X, D - p) \subseteq H^0(X, D)$ .

In general if  $E$  is effective  $H^0(X, D - E) \subseteq H^0(X, D)$ .

proof:  $H^0(X, D) = \{ f \mid \operatorname{div}(f) \geq -D \}$   
 $H^0(X, D - p) = \{ f \mid \operatorname{div}(f) \geq -D + p \} . \square$

Let's try to understand  $H^0(X, D - p) \subseteq H^0(X, D)$ :

Suppose first that  $p$  is not in the support of  $D$

$$(D = \sum n_p \cdot p \quad \text{Supp}(D) = \{p \mid n_p \neq 0\})$$

Then each  $f \in H^0(X, D)$  is holomorphic around  $p$  and then

$$\begin{aligned} H^0(X, D - p) &= \{f \in H^0(X, D) \mid \text{div}(f) \geq -D + p\} \\ &= \{f \in H^0(X, D) \mid f(p) = 0\} \end{aligned}$$

Suppose in general that  $p$  appears in  $\text{Supp}(D)$

$$D = n_p \cdot p + \text{sum of pts distinct from } p$$

then

$$\begin{aligned} H^0(X, D) &= \{f \mid \text{div}(f) \geq -n_p \cdot p + \dots\} \\ H^0(X, D - p) &= \{f \mid \text{div}(f) \geq -(n_p - 1)p + \dots\} \end{aligned}$$

Fix a local coordinate  $z$  around  $p$ , then if  $f \in H^0(X, D)$  we can write a Laurent series expansion

$$f = a_{-n_p} z^{-n_p} + a_{-(n_p-1)} z^{-(n_p-1)} + \dots$$

$$H^0(X, D - p) = \{f \in H^0(X, D) \mid a_{-n_p} = 0\}$$

(9)  $H^0(X, D - p)$  has codimension  $\leq 1$  inside  $H^0(X, D)$ .

(10) In particular  $h^0(X, D) \stackrel{\text{def}}{=} \dim H^0(X, D)$  we see that this is finite, and moreover

$$h^0(X, D) = \deg D + 1, \text{ if } X \cong \mathbb{P}^1 \text{ and } \deg D \geq 0$$

$$h^0(X, D) \leq \deg D, \text{ if } X \neq \mathbb{P}^1.$$

proof: let  $d = \deg D$ . If  $X \cong \mathbb{P}^1$ , then

$$H^0(X, D) \cong H^0(X, d \cdot \infty) \cong \mathbb{C}[t^{\pm 1}]_{\leq d}$$

and it has dim  $d+1$  if  $d \geq 0$  and 0 otherwise.

Suppose  $X \neq \mathbb{P}^1$ . Then we have a chain of inclusions

$$H^0(X, D - (d-1)p) \subseteq \dots \subseteq H^0(X, D - p) \subseteq H^0(X, D)$$

We know that

$$h^0(X, D - (d-1)p) \leq 1$$

because  $\deg(D - (d-1)p) = 1$  and  $X \neq \mathbb{P}^1$

and at each inclusion in the chain the dimension grows at most by 1, so

$$h^0(X, D) \leq h^0(X, D - (d-1)p) + \#\{\text{inclusions}\}$$

$$\leq 1 + d - 1 = d. \quad \square$$

Def : LINEAR SYSTEM (of DIVISORS)

The proj space  $|D|$  is called the complete linear system associated to  $D$ . A linear system is a linear subspace  $\Delta \subseteq |D|$ . The degree of  $D$  is the degree of the linear system.

The dimension  $r = \dim \Delta$  is the dimension of the linear system